



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/895,801	06/29/2001	Larry Brown	41992-00427	5589

7590 01/27/2005

MARSH FISCHMANN & BREYFOGLE LLP  
3151 South Vaughn Way, Suite 411  
Aurora, CO 80014

EXAMINER
----------

ABYANEH, ALI S

ART UNIT	PAPER NUMBER
----------	--------------

2133

DATE MAILED: 01/27/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/895,801

Applicant(s)

BROWN ET AL.

Examiner

Ali S. Abyaneh

Art Unit

2133

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 29 June 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 June 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

### **Detailed ACTION**

1. Claims 1-29 are presented for examination.

### ***Specification***

2. Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

3. The abstract of the discloser is objected to because it is more than 150 words.

Correction is required.

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States

only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claim 1-12 and 21-29 are rejected under 35 U.S.C. 102(e) as being anticipated by Scott E. Fahlman et al. (US Patent NO.5,960,080).

**Regarding Claim 1 and 27**

Fahlman teaches a method (apparatus) for use in a multi-level secure system for sanitizing a message, said multilevel secure system including at least first and second security levels wherein first security level users are authorized to receive sensitive information that second security level users are not authorized to receive, said method comprising the steps of: establishing a computer-based sanitization tool for sanitizing messages based on predefined sanitization, rules; (column 4, lines 17-67 and column5 lines 1-40) using said computer-based sanitization tool to receive a first message from a first external system, said first message including said sensitive information and additional information;(column 4 lines 20-22) first operating said computer-based sanitization tool to identify said sensitive information within said message (column 4 lines 28,29) and to sanitize said message relative to said sensitive information, thereby generating a first sanitized message different than said first message; (column 4 lines 42-43) and second operating said computer-based sanitization tool for transmission of said first sanitized message to a second external system, said second external system being associated with said second security level (column 4 lines 64-66).

### **Regarding Claim 2**

Fahlman teaches a method, wherein said step of first operating comprises identifying said sensitive information based on said second security level and protecting said sensitive information such that said sensitive information is not useable by said second external system. (column 4 lines 17-65).

### **Regarding Claim 3**

Fahlman teaches a method, wherein said step of first operating comprises accessing storage including multiple rule sets, using a parameter associated with said second security level to select a rule set, and applying said selected rule set with respect to the first message to generate said first sanitized message. column 3, lines 35-53).

### **Regarding Claim 4**

Fahlman teaches a method, wherein step of second operating comprises identifying third external system associated with the third security level (column 6, lines 54-61 and fig 6 and associated text, discloses many options such as encryption. Examiner considers any option as another security level) operating said computer-based sanitization tool to generate a second sanitized message different than each of the said first message and said first sanitized message and operating said computer based sanitization tool for transmission of said second

sanitized message to said third external system. (column 4, lines 20-67 and column 5, lines 1-34).

**Regarding Claim 5, 24**

Fahlman teaches a method, wherein said step of using comprises receiving a text only message. (column 4 lines 22-24).

**Regarding Claim 6**

Fahlman teaches a method, wherein said first message includes a graphics portion and said step of first operating comprises protecting sensitive information within said graphics portion such that said sensitive information is not useable by said second external system. (column 4 lines 22-26, 47-53).

**Regarding Claim 7**

Fahlman teaches a method, wherein said step of first operating comprises parsing said first message into a number of tokens and separately analyzing each token for said sensitive information. (column 4, lines 37-45).

**Regarding Claim 8**

Fahlman teaches a method, wherein said step of first operating comprises recursively parsing said first message to provide tokens of progressively smaller content until a desired parsing resolution is achieved and separately analyzing each token of said desired parsing resolution for said sensitive information. (column 4, lines 46-60).

Art Unit: 2133

**Regarding claim 9**

Fahlman teaches a method, wherein said step of second operating comprises identifying a first format associated with said second external system and converting said first sanitized message into said first format. (column4, lines 64-65).

**Regarding claim 10 and 28**

Fahlman teaches a method (apparatus), wherein said step of second operating comprises identifying a first format associated with said second external system, accessing storage including multiple specifications relating to multiple formats, retrieving from said storage first specification information for said first format and converting said first sanitized message into said first format using said first specification information. (column 4, lines 64,65 and column 3, lines 56-60).

**Regarding claim 11**

Fahlman teaches a method, further comprising the steps of generating a second sanitized message, the same or different than the first sanitized message, for transmission to a third external system, where said second external system is associated with a first format and said third external system is associated with a second format, first converting said, first sanitized message

into said first format and second converting said second sanitized message into said second format.(column4 ,lines 20-65, column 5 lines 1-17).

#### **Regarding claim 12**

Fahlman teaches a method, further comprising the step of providing storage including first specification information for said first format and second specification information for said second format, where said step of first converting comprise accessing said storage to obtain said first specification information and said step of second converting comprises accessing said storage to obtain said second specification information, wherein said storage can be used to reconfigure said sanitization tool for transmission in multiple formats without re-compiling.(column 2, lines 43-56).

#### **Regarding claim 21**

Fahlman substantially teaches a method for use in a multi-level secure system for sanitizing a message, said multi-level secure system including at least first and second security levels wherein first security level users are authorized to receive sensitive information that second security level users are not authorized to receive, said method comprising the steps of: establishing a computer-based sanitization tool for sanitizing messages based on predefined sanitization rules; (column 4, lines 17-64 and column 5, lines 1-40) first operating said computer-based sanitization tool for receiving a message and recursively parsing the



Art Unit: 2133

message such that the message is parsed into tokens of a desired size;(column4, lines 38-53) second operating said computer-based sanitization tool for applying sanitization rules with respect to the parsed tokens to identify at least one dirty token relative to an identified recipient; and third operating said computer-based sanitization tool for sanitizing said message relative to said dirty token to generate a sanitized message for transmission to said identified recipient. [(Examiner interprets dirty token as standard token)(column 4, lines 27-46)].

**Regarding claim 22**

Fahlman substantially teaches a method, wherein said step of second operating comprises identifying said at least one standard token based on a security level associated with said identified recipient and protecting said standard token such that said standard token is not useable by said identified recipient. (column 3, lines 27-67).

**Regarding claim 23**

Fahlman substantially teaches a method, wherein said step of second operating comprises accessing storage including multiple rule sets and using a parameter associated with said identified recipient to select said sanitization rules. (column 4, lines 37-67 and column 5, lines 1-20).

**Regarding claim 25**

Fahlman substantially teaches a method, wherein said message includes a graphics portion and said step of second operating comprises identifying said at least one standard token within said graphics portion. (column 4, lines 22-46).

**Regarding claim 26**

Fahlman substantially method, wherein said step of third operating comprises identifying a format associated with said identified recipient and converting said sanitized message into said format.(column4, lines 64-65).

**Regarding claim 29**

Fahlman teaches an apparatus as, wherein said sanitization engine is operative for identifying a potential recipient of said message and obtaining said at least one sanitization rule based on said intended recipient.(column 3, lines 27-67 and column 7, lines 7-10).

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) patent may not be obtained though the invention is not identically disclose or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention

Art Unit: 2133

was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 13-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Scott E. Fahlman et al. (US Patent NO.5, 960,080). in view of Richard S. Lindman et al.(US Patent NO.4,882,752).

**Regarding Claim 13, 14, 15**

Fahlman teaches a method for use in a multi-level secure system for sanitizing a message, said multi-level secure system including at least first and second security levels wherein first security level users are authorized to receive sensitive information that second security level users are not authorized to receive, said method comprising the steps of: establishing a computer-based sanitization tool for sanitizing messages based on predefined sanitization rules;(column 4, lines 17-64, column 5, lines 1-40). first using said computer-based sanitization tool for receiving a message for potential distribution;(column 4, lines 20-22). Third operating aid computer-based sanitization tool for sanitizing said received message to generate first sanitized message for transmission to said first potential recipient (column 4, lines 20-46) and fourth operating said computer-based sanitation tool for sanitizing said received message to generate a second sanitized message, different than the first sanitized message, for transmission to said second potential recipient. (column 4, lines 20-67 and column5, lines 1-28).protecting first and second sensitive information such that first sensitive information is not useable by first potential recipient and second

sensitive information is not usable by second potential recipient.( column 4, lines 46-53). Fahlman does not teach second operating said computer-based sanitization tool for identifying at least first and second potential recipients having first and second security clearances and identifying first and second sensitive information based on first and second security clearances and accessing storage including multiple rule sets, using parameters associated with first and second security clearances to select a first rule set, second rule set. However Lindman discloses a method of different levels of security clearances (column 8, lines 65-68, column 9, lines 1-8 and column 10, lines 16-49) and identifying first and second sensitive information based on first and second security clearances. (column 8 lines 65-68, column9, lines 1-8 and column 10, lines 16-49). Accessing storage including multiple rule sets and using parameters associated with the first and second security clearances to select the first and second rule sets. (column 8, lines65-68,column 9 lines 1and column 10, lines 16-24). Therefor it would have been obvious to person having ordinary skill in the art at the time the invention was made to modify the method disclosed by Fahlman to include the first and second security clearances for at least first and second potential recipient. identifying first and second sensitive information based on first and second security clearances and accessing storage including multiple rule sets, using parameters associated with first and second security clearances to select a first rule set and second rule set This modifications could have been obvious because person having ordinary skill in the art would have been motivated to do

so in order to provide several security levels to prevent access by unauthorized recipient and spread of private information outside of the trusted environment.

**Regarding Claim 16**

Fahlman teaches a method, wherein said step of first using comprises receiving a text only message. (column 4, lines 22-24).

**Regarding claim 17**

Fahlman teaches a method, wherein said message includes a graphics portion and said step of third operating comprises protecting sensitive information within said graphics portion such that said sensitive information is not useable by said first recipient. (column 4, lines 22-26,47-53).

**Regarding Claim 18**

Fahlman teaches a method, wherein said step of third operating comprise parsing said message into a number of tokens and separately analyzing each token for sensitive information. (column 4, lines 37-45).

**Regarding claim 19**

Fahlman teaches a method, wherein said step of third operating comprises identifying a first format associated with said first potential recipient and converting said first sanitized message into said first format, and said step of fourth operating comprises identifying a second format associated with said

second potential recipient and converting said second sanitized message into said second format. (column 3, lines 56-60, column 4, lines 64-65 and column 5, lines 1-17).

### **Regarding Claim 20**

Fahlman teaches a method, further comprising the step of providing storage including first specification information for said first format and second specification information for said second format, where said step of third operating comprises accessing said storage to obtain said first specification information and said step of fourth operating comprises accessing said storage to obtain said second specification information, wherein said storage can be used to reconfigure said sanitization tool for transmission in multiple formats without re-compiling.(column 2, lines 43-56).

### **References Cited, Not Used**

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

1. U.S.Patent No.6,678,822

This reference relates to a method for securely exposing information from a first data processing environment to a second data processing environment.

2. U.S.Patent No. 5,872,847

This reference teaches a method for establishing trusted communication with associations for communications between users on an Internet protocol based computer network.

3. U.S. Patent No. 6,304,973

This reference relates a multi-level security system.

**Conclusion**

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ali Abyaneh whose telephone number is (571) 272-7961. The examiner can normally be reached on Monday-Friday from (8:00-5:00). If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Albert Decady can be reached on (571) 272-3819. The fax phone numbers for the organization where this application or proceeding is assigned as (703) 872-9306. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Ali Abyaneh  
Patent Examiner  
Art Unit 2133  
Jan 19, 2005

AA

*Guy J. Lamarre*  
*Primary Examiner*